



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) Ningning Miao, Monica Wang, Nag sh
Mahanthappa, and K vin Pang

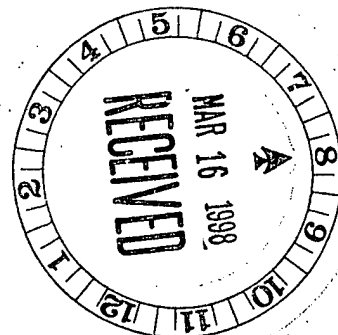
Atty. Dock t No. **ONV-044.01**

Serial No: 08/900,220

Filing Date: July 24, 1997

For: **METHOD OF TREATING DOPAMINERGIC
AND GABA-NERGIC DISORDERS**

ATTN: Box Missing Part
Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231



Certificate of First Class Mailing

I hereby certify that this correspondence and all attachments listed hereon are being deposited with the United States Postal Services as first class mail in an envelope addressed to: Assistant Commissioner for Patents; Box Missing Part, Washington, D.C. 20231 on the date set forth below.

December 17, 1997

Date of Signature and Mail Deposit

By: 

Ariel Collazo

FEE TRANSMITTAL AND RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir/Madam:

Responsive to the Notice to File Missing Parts of Application dated October 10, 1997, Applicants' attorney submits herewith one executed Declaration, Petition and Power of Attorney, and a check in the amount of **\$3,762.00** to cover the cost of the filing fee (\$3,632) and surcharge (\$130). A copy of Form PTO-1533 is also enclosed.

The filing fee has been calculated as shown below:

			SMALL ENTITY		OTHER THAN SMALL ENTITY	
	# FILED	# EXTRA	Rate	FEE	Rate	FEE
BASIC FEE			\$395		\$790	790
TOTAL CLAIMS	81- 20 =	61*	x \$11		x \$22	1,342
INDEP. CLAIMS	18 - 3 =	15*	x \$41		x \$82	1,230
[] MULTIPLE DEP. CLAIMS PRESENTED			\$135		\$270	270
TOTAL:						3,632

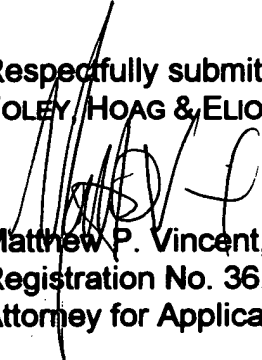
[XX] A separate Request for Extension of Time (including a check for \$110.00 to cover the extension fee) is submitted herewith.

[XX] A Preliminary Amendment is also submitted herewith.

[XX] A check in the amount of \$3,762.00 to cover the filing fee (\$3,632) and surcharge (\$130) is enclosed.

[XX] Please charge any additional fees or credit any overpayments associated with this communication to our Deposit Account No. **06-1448**. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
FOLEY, HOAG & ELIOT LLP


Matthew P. Vincent, Ph.D.
Registration No. 36,709
Attorney for Applicants

Patent Group
FOLEY, HOAG & ELIOT LLP
One Post Office Square
Boston, MA 02109
(617) 832-1000

Date: December 17, 1997



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ningning Mia , Monica Wang, Nagesh Mahanthappa, and Kevin Pang

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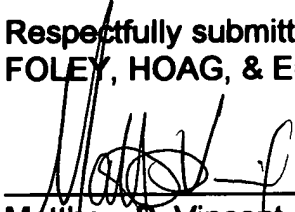
RESPONSE TO NOTICE TO COMPLY WITH SEQUENCE RULES

Dear Sir:

Applicants submit herewith a diskette and a hard copy of the sequence listing as required by 37 C.F.R. §1. 821(c) and (e). The content of the computer-readable diskette is identical to the hard copy of the sequence listing attached hereto on substitute pages 76-117 of the specification.

No new matter has been added.

Respectfully submitted,
FOLEY, HOAG, & ELIOT LLP


Matthew R. Vincent, Ph.D.
Reg. No. 36,709
Attorney for Applicants

Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170
Tel: (617) 832-1000
FAX: (617) 832-7000

Dated: December 17, 1997

Applicati n No.: 08/900220

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: _____

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☐ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

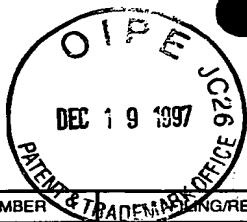
For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE



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UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILED/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/900,220

07/24/97

MIAD

N

ONV044,01

PATENT GROUP
FOLEY HOAG AND ELIOT
ONE POST OFFICE SQUARE
BOSTON MA 02109

0292/1010

Missing Parts
Sub Listing
Due: 12-10-97
Final: 4-10-98

NOT ASSIGNED

1801

DATE MAILED:

10/10/97

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted ALONG WITH THE PAYMENT OF A SURCHARGE for items 1 and 3-6 only of \$ 125 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 5142.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 190 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 4222, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ _____ processing fee is required since your check was returned without payment (37 CFR 1.49(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☒ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

→ ☒ 9. OTHER: Please note: claims 28 → 39 are omitted.

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the response.

After This To
Customer Service Center
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01/21/1998
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